

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.		24528-71531US
First Named Inventor		Will Shatford
Title	Biometric Based Authentication System with Random Generated PIN	
Express Mail Label No.		EV222867566US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents

1. [X] Fee Transmittal Form (e.g. PTO/SB/17)  
*(Submit an original and a duplicate for fee processing)*

2. [X] Applicant claims small entity status.  
See 37 CFR 1.27.

3. [X] Specification *Total Pages* [12]  
*(preferred arrangement set forth below)*

- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Description of the Drawings (*if filed*)
- Detailed Description
- Claim(s) (Nos. 1-14)
- Abstract of the Disclosure

4. [X] Drawing(s) (35 U.S.C. 113) *Total Pages* [4]

5. [X] Oath or Declaration *Total Pages* [2]

- a. [X] Newly executed (original or copy)
- b. [ ] Copy from a prior application (37 CFR 1.63(d))  
*(for continuation divisional with Box 18 completed)*

i. DELETION OF INVENTOR(S)

- Signed statement attached deleting inventor(s) named in the prior application (see 37 CFR 1.63(d)(2) and 1.33(b))

6. [ ] Application Data Sheet. See 37 CFR 1.76

**ADDRESS TO:** **BOX PATENT APPLICATION**  
Assistant Commissioner for Patents  
PO Box 1450, Alexandria, VA 22313

7. [ ] CD-ROM or CD-R in duplicate, large table or Computer Program (*Appendix*)

8. [ ] Nucleotide and/or Amino Acid Sequence Submission (*if applicable, all necessary*)

- a. [ ] Computer Readable Form (CRF)
- b. [ ] Specification Sequence Listing on:
  - i.  CD-ROM or CD-R (2 copies); or
  - ii.  paper
- c. [ ] Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9. [ ] Assignment Papers (cover sheet & document(s))

10. [ ] 37 CFR 3.73(b) Statement [ ] Power of Attorney (when there is an assignee)

11. [ ] English Translation Document (*if applicable*)

12. [ ] Information Disclosure [ ] Copies of IDS Statement (IDS)/PTO-1449 Citations

13. [ ] Preliminary Amendment

14. [X] Return Receipt Postcard (MPEP 503)  
*(Should be specifically itemized)*

15. [ ] Certified Copy of Priority Document(s) (*if foreign priority is claimed*)  
Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i).

16. [ ] Applicant must attach form PTO/SB/35 or its equivalent.

17. [ ] Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

[ ] Continuation [ ] Divisional [ ] Continuation-in-part (CIP) of prior application No.:  
Prior application information: Examiner: Group Art Unit:

For CONTINUATION OR DIVISIONAL APPS ONLY: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

Name	Evelyn H. McConathy, Esquire				
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City	Philadelphia	State	PA	Zip Code	19103
Country	US	Telephone	(215) 575-7000	Fax	(215) 575-7200
Name (Print/Type)	Evelyn H. McConathy	Registration No. (Attorney/Agent)	35,279		
Signature	<i>Evelyn H. McConathy</i>			Date	July 3, 2003

EV222867566US

 17467 U.S. PTO  
10/6/2003  
07/03/03

19096 U.S. PTO  
07/03/03

## FEE TRANSMITTAL for FY 2003

*Patent fees are subject to annual revision.*

Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT** (\$375.00)

**METHOD OF PAYMENT** (check all that apply)

Check  Credit Card  Money Order  Other  None

Deposit Account:

Deposit Account Number **50-0979**

Deposit Account Name **Dilworth Paxson LLP**

The Commissioner is authorized to: (check all that apply)

Charge fee(s) indicated below  Credit any overpayments

Charge any additional fee required under 37 CFR 1.16 and 1.17

Charge fee(s) indicated below, except for the filing fee

to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity	Small Entity			
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
1001	750	2001	375	Utility filing fee
1002	330	2002	165	Design filing fee
1003	520	2003	260	Plant filing fee
1004	750	2004	375	Reissue filing fee
1005	160	2005	80	Provisional filing fee
<b>SUBTOTAL (1)</b>				<b>\$ 375</b>

### 2. EXTRA CLAIMS FEES FOR UTILITY AND REISSUE

		Extra Claims	Fee from below	Fee Paid
Total Claims	14	-20**	= 0 X * =	\$ 0
Independent Claims	3	- 3**	= 0 X * =	\$ 0
Multiple Independent			+ 280/140=	\$ _____

Large Entity Fee Code (\$)	Entity Fee Code	Entity Fee Code	Fee Description
1202	18	2202	9 Claims in excess of 20
1201	84	2201	42 Independent claims in excess of 3
1203	280	2203	140 Multiple dependent claim, if not paid
1204	84	2204	42 **Reissue independent claims over original patent
1205	18	2205	9 **Reissue claims in excess of 20 and over original patent
<b>SUBTOTAL (2)</b>			<b>\$ 0</b>

\*\*or number previously paid, if greater; For Reissue, see above

*Complete if known*

<b>Application Number</b>	<b>To Be Assigned</b>
<b>Filing Date</b>	<b>Herewith</b>
<b>First Named Inventor</b>	<b>Will Shatford</b>
<b>Examiner Name</b>	<b>TBA</b>
<b>Group Art Unit</b>	<b>TBA</b>
<b>Attorney Docket No.</b>	<b>24528-71531US</b>

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Fee Code	Large Entity Fee (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1051	130	2051	65 Surcharge – late filing fee or oath	
1052	50	2052	25 Surcharge – late provisional filing fee or cover sheet	
1053	130		Non-English specification	
1812	2,520		For filing a request for <i>ex parte</i> reexamination	
1804	920*		Requesting publication of SIR prior to Examiner action	
1805	1,840*		Requesting publication of SIR after Examiner action	
1251	110	2251	55 Extension for reply within first month	
1252	410	2252	205 Extension for reply within second month	
1253	930	2253	465 Extension for reply within third month	
1254	1,450	2254	725 Extension for reply within fourth month	
1255	1,970	2255	985 Extension for reply within fifth month	
1401	320	2401	160 Notice of Appeal	
1402	320	2402	160 Filing a brief in support of an appeal	
1403	280	2403	140 Request for oral hearing	
1451	1,510		Petition to institute a public use proceeding	
1452	110	2452	55 Petition to revive – unavoidable	
1453	1,300	2453	650 Petition to revive – unintentional	
1501	1,300	2501	650 Utility issue fee (or reissue)	
1502	470	2502	235 Design issue fee	
1503	630	2503	315 Plant issue fee	
1460	130		Petition to the Commissioner	
1807	50		Processing fee under 37 CFR 1.17(q)	
1806	180		Submission of Information Disclosure Stmt	
8021	40		Recording each patent assignment per property (times number of properties)	
1809	750	2809	375 Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	750	2810	375 For each additional invention to be examined (37 CFR § 1.129(b))	
1801	750	2801	375 Request for Continued Examination (RCE)	
1802	900		Request for expedited examination of a design application	

Reduced by Basic Filing Fee Paid **SUBTOTAL (3)** \$ 0

SUBMITTED BY CUSTOMER NO. 27730

Complete (if applicable)

Name (Print/Type)	Evelyn H. McConathy	Registration No. (Attorney/Agent)	35,279	Telephone	(215) 575-7000
Signature	<i>Evelyn H. McConathy</i>			Date	July 3, 2003